Cost-Effective Fabrication Routes for the Production of Quantum Well Type Structures and Recovery of Waste Heat from Heavy Duty Trucks

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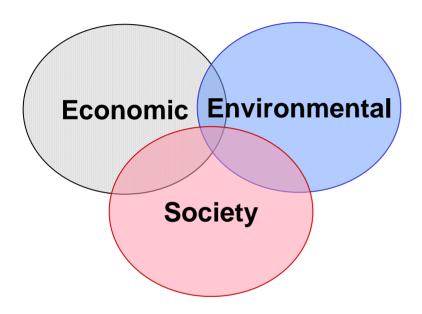
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Outline

- Motivation for Waste Heat Recovery (WHR)
- Motivation for Waste Heat Recovery from Diesel Engines
- Caterpillar's More Electric Research Truck Platform
- Thermoelectric (TE) Technology
- DOE UTRC-led program for TE-based WHR from Diesel Engines
 - Program Objectives
 - Phase I Results / Phase II Plan

Motivation for Waste Heat Recovery

Today's pressure to increase fuel economy & reduce emissions is 3-fold



- > Decreasing fuel supply
- > Rising fuel prices
- More stringent emissions regulations
- > Truck idling restricted to certain locations
- Increased population / vehicle registration
- Driver Comfort
- Increased demand for auxiliary power

DOE EERE / FCVT Mission:

Develop more energy-efficient and environmentally friendly highway transportation technologies that enable America to use less petroleum

Could Diesel Play a Future Role in Hybrid Car Designs?

(+ / Pros)

- Diesel fuel has a higher energy content than gasoline
- Diesel performance is better than gasoline (30% more efficient)
- Vehicles running on diesel put less global warming pollution in the air

→ Better Fuel Economy & Less CO₂

(- / Cons)

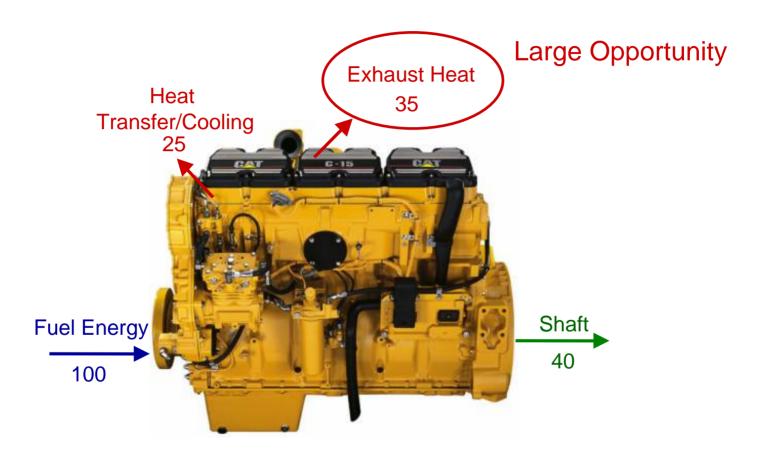
- Lower exhaust temperatures
- Greater particulates
- Higher NOx

"Full hybrid-electric diesel cars offer the maximum improvement in fuel economy as well as the greatest reduction in heat-trapping emissions"



..... The Diesel Dilemna: Diesel's Role in The Race for Clean Cars

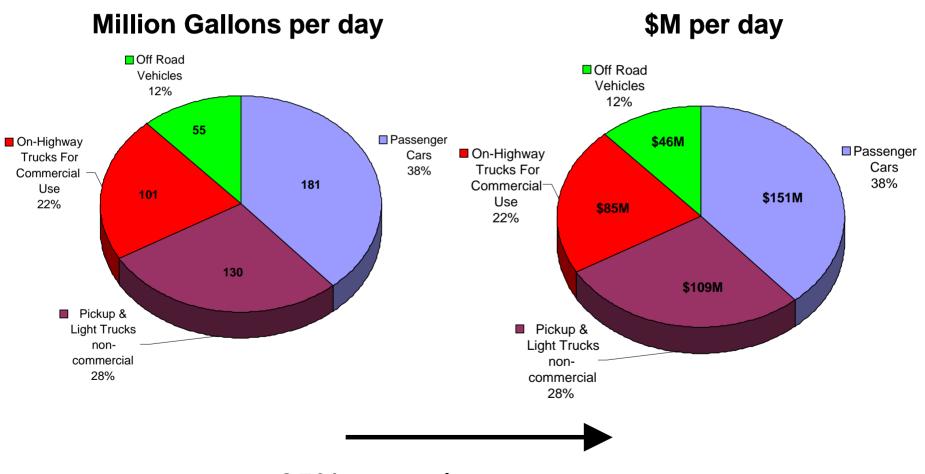
Large opportunity to improve fuel economy and engine efficiency



Class 8 Truck Engine Energy Audit

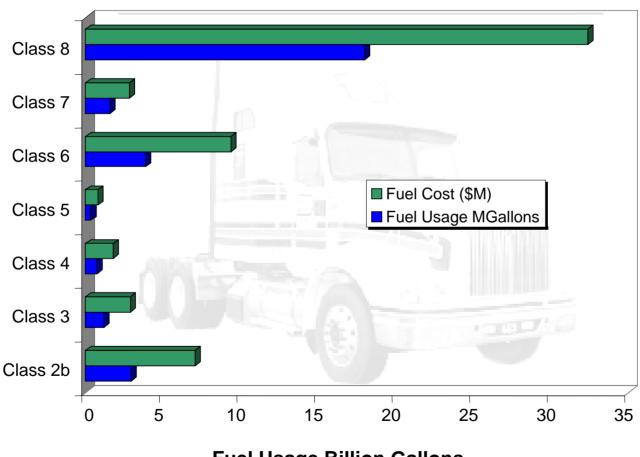
Large opportunity to improve fuel economy with early payback

Daily Fuel Consumption and Potential Opportunity



35% waste heat amounts to

Class-8 diesel trucks represent significant portion of fuel consumed



Fuel Usage Billion Gallons Fuel Cost Billion Dollars

Truck Electrification

Overall better utilization of electrical energy

Technical Objectives:

- ➤ Replace gear or belt-driven accessories (pumps, fans, compressors) with electrical accessories
- Decouple mechanical loads from the engine
- Match power demand to real time need
- > Enable use of alternative power sources
- ➤ Technologies must be: Cost-effective, Reliable, and Durable

Results if Achieved:

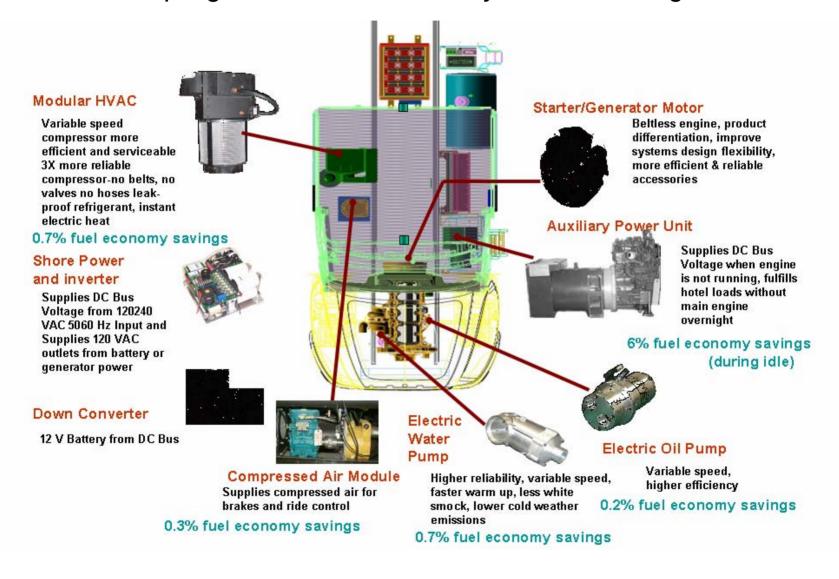
- Electrical components can be:
 - Operated at individual, optimal speeds
 - Reduced to smaller size, and
 - Ideally located
- Cooling loads can be reduced
- Engine can be redesigned to reduce drag



*DOE WHR Program is equally important, and synergistic, to DOE's More Electric Truck & Essential Power System (EPS) Program in achieving the overall fuel savings goal of 1 billion gallons per year

Caterpillar's More Electric Research Truck Platform

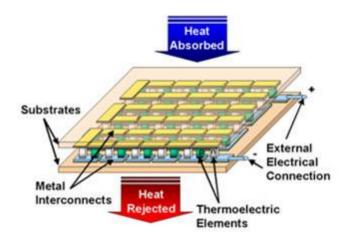
Effective "Decoupling" of Essential Power Systems from Engine Gear-Drive



Thermoelectrics for Engine Efficiency Improvement

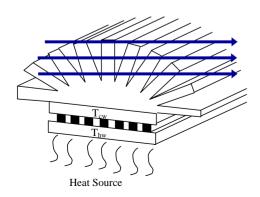
Basic Principles....and Challenges Associated with TE Waste Heat Recovery

Basic Principles



- Voltage resulting from an imposed temperature difference across two dissimilar materials, usually semiconductors.
- Material used must simultaneously possess high electrical conductivity and low thermal conductivity.
- Dissimilar materials are connected electrically in series and thermally in parallel.

Challenges



- Heat transfer in and out of the TE devices...within allowable backpressure to engine
- Added weight to system due to integration of TEG
- Large, steady ∆T without running fans/pumps
- High ZT (high efficiency) materials across existing ΔT

Program Overview

- ➤ 4-phase, 25% cost-shared R&D program sponsored by the U.S. Department of Energy, National Energy Technologies Laboratory.
- Program goal: Improve the engine efficiency of a heavy-duty, onhighway truck by 10% (from 40% to 44%), thus fuel efficiency by 9%











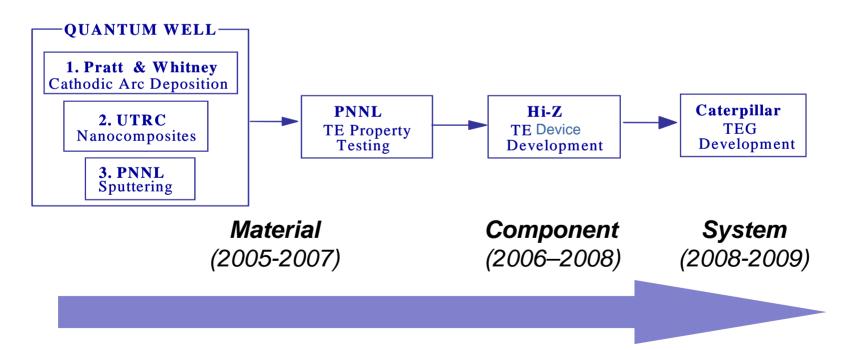




DOE Program Manager John Fairbanks and DOE Project Manager Ralph Nine

Program Overview

- Develop a cost-effective fabrication route for the production of quantum well (QW)-type
 TE materials, enabling the commercialization of high-efficiency TE modules.
 - Demonstrate technology in the form of an integrated prototype TE generator on a More Electric Truck (MET) Class 8 heavy duty truck.
 - Deliver a viable commercialization path to the on-highway truck industry.

















UTC Motivation

Many UTC Applications Exist for TE Cooling, Heating and Power Generation

Waste Heat Recovery from SOFC











Hi-Z's Experience in Waste Heat Recovery from Engines

1kWatt TEG for Heavy-Duty Trucks

- DOE funded program
- Utilizing Bi₂Te₃ TE devices
- Tested equivalent of 500,000 miles in test bed mounted under a PACCAR truck

300 Watt TEG for Light-Duty Trucks

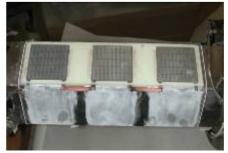
- In conjunction with Clarkson University
- Utilizing Bi₂Te₃ TE devices
- Tested in a Sierra Pickup Truck

200 Watt TEG for a Hybrid Truck

- In conjunction with to Ohio State
- Utilizing Bi₂Te₃ TE devices









Phase I Objectives

Perform a techno-economic study for the integration of a QW-based thermoelectric generator (TEG) into Class 8 trucks for the purpose of waste heat recovery

- Determine application-specific conditions
- Evaluate, under these application-specific conditions, expected power output using targeted TE materials.
- Define market acceptance criteria
- Identify viable TEG concepts
- Downselect to most promising TEG concept and apply best design
- Establish maximum acceptable cost/kW targets
- Quantify/Compare costs of different fabrication routes
- Quantify total TEG cost and compare to system level requirements

Success Criteria

Projected cost and performance metrics meet or exceed system level requirements







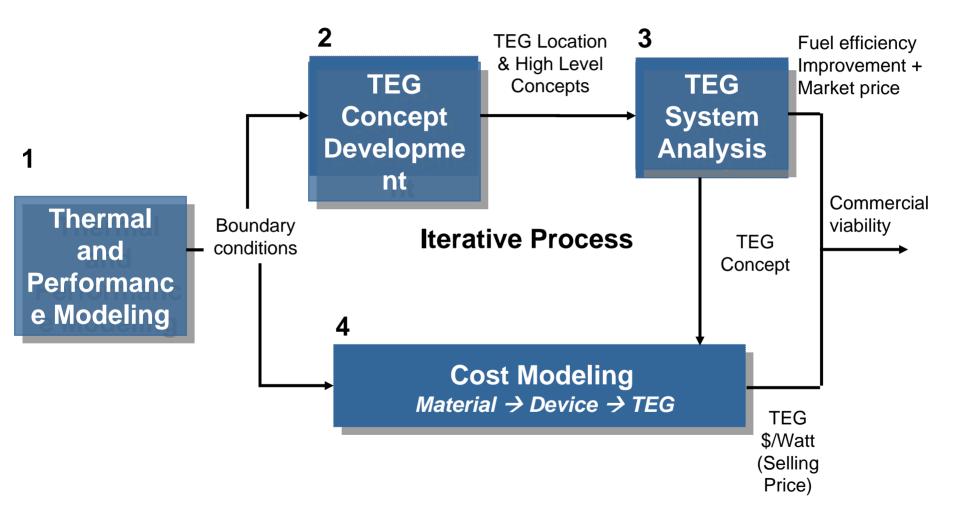








Phase I Approach











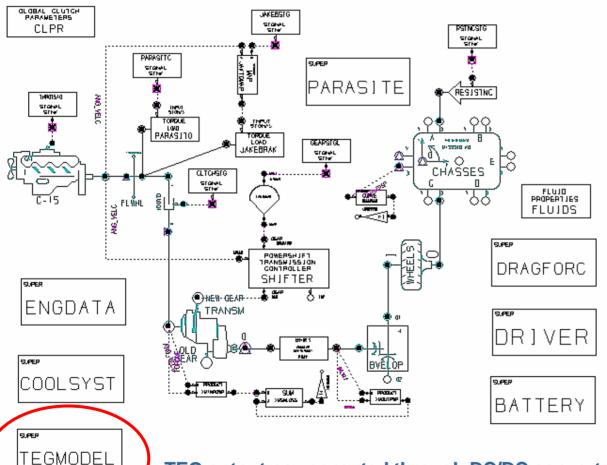






Main View of Dynasty Truck Model

Complete system analysis



Model Inputs

Desired Speed & Route Conditions

Model Outputs

Engine, Cooling System, & Electrical System Performance (Temps, Speeds, Loads, Powers, Flow Rates, Voltages, Etc.)

Fuel Efficiency Improvement

TEG output power routed through DC/DC converter to ISG circuit to run electrical accessories and provide motoring capability to propel truck.















Phase I Results

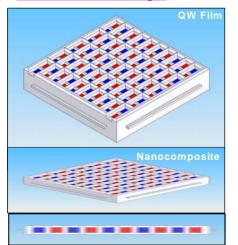
System Analysis

- 5 12 kWatt thermoelectric generator (TEG) using liquid cooling on cold side chosen for highest power output and lightest weight.
- Preliminary TEG concept, combined with Caterpillar's More Electric Truck Platform, gives a projected fuel efficiency improvement of 4 - 4.5%. Critical customer requirements determined at 2 – 9%.

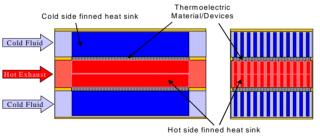
Cost Analysis

- QW-based thermoelectric generator projected to sell at \$0.51/watt compared to the maximum market acceptable price of \$0.46/watt
- TE devices and generator components contribute equally to total fabrication cost.
- · 2-year payback assumed

TE Device Design



TE Heat Exchanger Design



TE Generator Design



















Phase II Plan

- Demonstrate cost-effective fabrication approaches for high efficiency TE materials
- Determine thermal stability of the fabricated QW- type structures
- Identify and overcome key risks associated with the integration of thermoelectric materials into a subsystem level device
- Define requirements and design the TE device TEG interface
- Develop a technical path for fabricating a prototype subsystem level heat management unit for concept generation















Summary

- Waste heat recovery is a large opportunity for Off and On-highway truck market
- Caterpillar has an available platform for immediate demonstration of the technology
- A conservative approach was taken at system level (e.g, realistic allowable backpressures and drive cycles) to project the fuel efficiency improvement, which meets customer requirements.
- Cost models project a selling price equal to market value (~\$0.5/Watt), making the technology commercially viable. Payback period estimated at be 2 years.